EXISTENCE OF MULTIPLE POSITIVE SOLUTIONS FOR A VARIATIONAL INEQUALITY OF KIRCHHOFF TYPE

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Abstract

This paper is devoted to prove the existence and the multiplicity of positive solutions for a class of a nonlinear variational inequality of Kirchhoff type. Under more general superlinear assumptions on the nonlinear term, we prove the existence of multiple positive solutions via non-smooth critical point theory for Szulkin-type functional.

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1. Introduction and Main Results

Consider the following variational inequality of Kirchhoff type:

$$\begin{cases} -(a+b\int_{\Omega} |\nabla u|^2 dx) \Delta u \ge f(x, u), & \text{ in } \Omega, \\ u = 0, & \text{ on } \partial\Omega, \end{cases}$$
(1.1)

where Ω is smooth bounded domain in $\mathbb{R}^N(N = 1, 2, \text{ or } 3)$, a, b > 0 are constants and $f : \Omega \times \mathbb{R} \to \mathbb{R}$ is continuos and satisfies:

$$(\mathbf{f}_1) f \in C(\Omega \times \mathbb{R}, \mathbb{R})$$
 and there exist $c > 0$ and $p \in (1, 2^*)$ $(2^* = \frac{2N}{N-2})$

if $N \ge 3$, $2^* = +\infty$ if N < 3, is the Sobolev critical exponent) such that

$$|f(x, u)| \le c(1 + |u|^{p-1}), \quad \forall (x, u) \in \Omega \times \mathbb{R}.$$

(f₂) $\frac{F(x, u)}{|u|^4} \to +\infty$ as $|u| \to +\infty$ uniformly in $x \in \Omega$ and there exists

 $r_0 > 0$ such that

$$F(x, u) \ge 0, \quad \forall (x, u) \in \Omega \times \mathbb{R}, |u| \ge r_0,$$

where $F(x, u) = \int_0^u f(x, s) ds$.

 (f_3) there exists a constant $\beta < a \lambda_1$ such that

$$4F(x, u) \le f(x, u)u + \beta |u|^2, \quad \forall (x, u) \in \Omega \times \mathbb{R},$$

where $\lambda_1 > 0$ is the first eigenvalue of $(-\Delta, H_0^1(\Omega))$.

 $(f_4) \widetilde{F}(x, u) \ge 0$ for all $(x, u) \in \Omega \times \mathbb{R}$, and $\widetilde{F}(x, s) \le \widetilde{F}(x, t)$ whenever $(s, t) \in \mathbb{R}^+ \times \mathbb{R}^+$ and $s \le t$, where $\widetilde{F}(x, u) = \frac{1}{4} f(x, u)u - F(x, u)$.

(f₅) f(x, u) = -f(x, u) for all $(x, u) \in \Omega \times \mathbb{R}$.

Variational inequalities describe phenomena from mathematical physics. They have applications in physics, mechanics, engineering, optimization, and elliptic inequalities, see, for example, [1-5].

The aim of this work is to study a Kirchhoff type variational inequality which is defined on Ω by using a non-smooth critical point theory due to Szulkin. In [7], the author has proved a number of existence theorem for critical point of functionals which are not smooth. He has generalized some minimization and minimax methods in critical point theory to a class of functionals which are not necessarily continuous and has introduced a new concept of compactness which is suitable to study these kinds of problems.

In the present paper, by using a minimization principle and the mountain pass theorem of Szulkin-type, we prove existence of positive solutions to a variational inequality of Kirchhoff-type in a closed convex set.

Let $K = \{u \in H_0^1(\Omega) : u \ge 0\}$ be the closed convex set in Sobolev space $H_0^1(\Omega)$ and we consider the problem, denoted by (*P*):

Given $f: \Omega \times \mathbb{R} \to \mathbb{R}$ a continuous function and a, b > 0, find $u \in K$ such that

$$\left(a+b\int_{\Omega}|\nabla u|^{2}dx\right)\int_{\Omega}\nabla u.(\nabla v-\nabla u)dx-\int_{\Omega}f(x,u)(v-u)dx\geq 0,\quad\forall v\in K.$$

Such kind of problems are called obstacle problems and they have been largely studied due to its physical application. See, for example, the classical books Kinderlehrer and Stampacchia [4], Rodrigues [6], and Troianiello [8] and the references therein.

The main results of this paper are the following:

Theorem 1.1. Assume that (f_1) , (f_2) , (f_3) , and (f_5) hold. Then problem (1.1) possesses at least one distinct pair of positive solution.

Theorem 1.2. Assume that (f_1) , (f_2) , (f_4) , and (f_5) hold. Then problem (1.1) possesses at least one distinct pair of positive solution.

Remark 1.3. Note that conditions (f_3) and (f_4) are weaker than the well-known Ambrosetti-Rabinowitz condition (AR for short), which was first introduced in [10], that is,

$$\exists \nu > 4 : \nu F(x, t) \le t f(x, t), t \ne 0.$$

Indeed, there are functionals f(x, u) satisfying conditions (f_1) - (f_5) , and not satisfying the AR condition. For example, let

$$F(x, u) = u^4 \ln(1 + u^4).$$

Then

$$f(x, u) = 4u^3 \ln(1 + u^4) + 4u^4 \frac{u^3}{1 + u^4}.$$

By a simple computation, one can deduces that

$$\nu F(x, u) - f(x, u)u = (\nu - 4)u^4 \ln(1 + u^4) - 4u^4 \frac{u^4}{1 + u^4} > 0,$$

for |u| large enough. Thus, f does not satisfy the AR-condition. Moreover, it is easy to check that f satisfies all the assumptions of Theorems 1.1 and 1.2.

2. Variational Framework and Technical Lemmas

Let $H := H_0^1(\Omega)$ be the Sobolev space equipped with the inner product and the norm

$$\langle u, v \rangle = \int_{\Omega} \nabla u \cdot \nabla v dx, \quad ||u|| = \langle u, u \rangle^{\frac{1}{2}}.$$

We denote by $|.|_p$ the usual L^p -norm. Since Ω is a bounded domain, then $H \hookrightarrow L^p(\Omega)$ continuously for $p \in [1, 2^*]$, and compactly for $p \in [1, 2^*]$, and there exists $\gamma_p > 0$ such that

$$|u|_{p} \leq \gamma_{p} \|u\|, \quad \forall u \in H.$$
(2.1)

Let $K = \{u \in H, u \ge 0\}$ be the closed convex set in the space *H*. Recall that a function $u \in H$ is called weak solution of (1.1) if

$$(a+b\int_{\Omega}|\nabla u|^{2}dx)\int_{\Omega}\nabla u.(\nabla v-\nabla u)dx-\int_{\Omega}f(x,\,u)(v-u)dx\geq 0,\,\forall v\in H.$$
(2.2)

Now we give some preliminaries about Szulkin-type function (see [7]). Let X be a real Banach space and X^* its dual. Let ϕ be a functional which is of class C^1 and let $\psi : X \to \mathbb{R} \cup \{+\infty\}$ be a proper (i.e., $\psi \neq +\infty$), convex and lower semicontinuous functional. We say that $I = \phi + \psi$ is a Szulkin-type functional. An element $u \in X$ is called a critical point of $I = \phi + \psi$ if

$$\phi'(u)(v-u) + \psi(v) - \psi(u) \ge 0$$
, for all $v \in X$,

which is equivalent to

$$0 \in \phi'(u) + \partial \psi(u) \quad \text{in} \quad X^*,$$

where $\partial \psi(u)$ is the subdifferential of the convex functional ψ at $u \in X$ defined by

$$\partial \psi(u) = \{ \phi \in X^* : \psi(v) - \psi(u) \ge \langle \phi, v - u \rangle, \quad \forall v \in X \}.$$

Definition 2.1. The functional $I = \phi + \psi$ satisfies the Palais-Smale condition at level $c \in \mathbb{R}$, denoted by $(PSZ)_c$ if every sequence $\{u_n\} \subset X$ such that $\lim_{n\to\infty} I(u_n) = c$ and

$$\langle \phi'(u_n), v - u_n \rangle + \psi(v) - \psi(u_n) \ge -\varepsilon_n \|v - u_n\|$$
 for all $v \in X$, (2.3)

where $\varepsilon_n \to 0$, possesses a convergent subsequence.

The following theorem which was proved by Szulkin, is the main tool to prove the main results of this paper.

Theorem 2.2. Let X be a Banach space, $I: X \to (-\infty, +\infty]$ a Szulkin-type functional satisfies $(PSZ)_c$, I(0) = 0 and ϕ, ψ are even. Assume also that

(i) there exists a subspace X_1 of X of finite codimension and numbers $\alpha, \rho > 0$ such that $I|_{\partial B\rho \cap X_1} \ge \alpha$;

(ii) there is a finite dimensional subspace X₂ of X, dim X₂ > codim X₁,
 such that I(u) → -∞ as ||u|| → ∞, u ∈ X₂.

Then I has at least dim X_2 – codim X_1 distinct pairs of nontrivial critical points.

We define the functional $\phi : H \to \mathbb{R}$ by

$$\phi(u) = \frac{a}{2} \|u\|^2 + \frac{b}{4} \|u\|^4 - \int_{\Omega} F(x, u(x)) dx.$$
(2.4)

Using (f_1) and the Sobolev embedding theorem, we can prove easily that $\phi \in C^1(H, \mathbb{R})$. We define the indicator functional of the set K by

$$\psi_K(u) = \begin{cases} 0, & \text{if } u \in K, \\ +\infty, & \text{if } u \notin K. \end{cases}$$

We remark that the functional ψ_K is convex, proper and lower semicontinuous. So, $I = \phi + \psi_K$ is a Szulkin-type functional.

Lemma 2.3. If $u \in H$ is a critical point of $I = \phi + \psi_K$, then u is a solution of problem (1.1).

Proof. Let $u \in H$ be a critical point of $I = \phi + \psi_K$. Then, we have

$$\phi'(u)(v-u) + \psi_K(v) - \psi_K(u) \ge 0, \quad \forall v \in H.$$

We first prove that $u \in K$. If this were not true, we have $\psi_K(u) = +\infty$, and taking $v = 0 \in K$ in the above inequality, we obtain a contradiction. Next, for a fixed $v \in K$, since

$$0 \le \phi'(u)(v-u) = (a+b||u||^2) \int_{\Omega} \nabla u (\nabla v - \nabla u) dx$$
$$- \int_{\Omega} f(x, u)(v-u) dx,$$

the inequality is proved.

Lemma 2.4. Assume that f satisfies (f_1) and (f_3) , then $I = \phi + \psi_K$ satisfies the $(PSZ)_c$ for every $c \in \mathbb{R}$.

Proof. Let $\{u_n\} \subset H$ be such that

$$I(u_n) = \phi(u_n) + \psi_K(u_n) \to c, \quad (c \in \mathbb{R}),$$
(2.5)

and

$$\phi'(u_n)(v-u_n) + \psi_K(v) - \psi_K(u_n) \ge -\varepsilon_n \|v-u_n\|, \quad \forall v \in H,$$
(2.6)

where $\{\varepsilon_n\} \subset [0, \infty\}$ is a sequence with $\varepsilon_n \to 0$. By (2.5), we have $\{u_n\}$ is in *K*. Next, we prove that $\{u_n\}$ is bounded in *H*. It follows from (f₃) and (2.5) that

$$\begin{split} c + 1 + \|u_n\| &\ge \phi(u_n) - \frac{1}{4} \langle \phi'(u_n), u_n \rangle \\ &= \frac{a}{4} \|u_n\|^2 + \int_{\Omega} \left(\frac{1}{4} f(x, u_n) u_n - F(x, u_n) \right) dx \\ &\ge \frac{a}{4} \|u\|^2 - \frac{\beta}{4} \int_{\Omega} |u|^2 dx \\ &\ge \frac{1}{4} \left(a - \frac{\beta}{\lambda_1} \right) \|u_n\|^2. \end{split}$$

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Since $\beta < a\lambda_1$, then $\left(a - \frac{\beta}{\lambda_1}\right) > 0$. Thus $\{u_n\}$ is bounded in *H*. Because the sequence $\{u_n\}$ is bounded in *H*, going if necessary to a subsequence, we may assume that

$$u_n \rightarrow u$$
 in H ;
 $u_n \rightarrow u$ in $L^p(\Omega)$, for $1 \le p < 2^*$;
 $u_n(x) \rightarrow u(x)$ a.e. $x \in \Omega$. (2.7)

As *K* is weakly closed, then $u \in K$. Setting v = u in (2.6), we obtain that

$$(a + b \|u_n\|^2) \int_{\Omega} \nabla u_n (\nabla u - \nabla u_n) dx$$

+
$$\int_{\Omega} f(x, u_n) (u - u_n) dx \ge -\varepsilon_n \|u - u_n\|$$

Therefore, for large $n \in \mathbb{N}$, we have

$$(a + b \|u_n\|^2) \|u - u_n\|^2 \le (a + b \|u_n\|^2) \int_{\Omega} \nabla u (\nabla u - \nabla u_n) dx + \int_{\Omega} f(x, u_n) (u - u_n) dx + \varepsilon_n \|u - u_n\|.$$
(2.8)

In one hand, by (f_1) , (2.7) and the Hölder inequality, one has

$$\begin{split} \int_{\Omega} f(x, u_n) (u - u_n) dx &\leq \int_{\Omega} c(1 + |u_n|^{p-1}) (u - u_n) dx \\ &\leq c \int_{\Omega} |u - u_n| dx + c \int_{\Omega} |u_n|^{p-1} |u - u_n| dx \\ &\leq c ||u - u_n||_1 + c ||u - u_n||_p ||u_n||_p^{p-1} \\ &\to 0 \quad \text{as} \quad n \to \infty. \end{split}$$
(2.9)

In the other hand, by (2.7) and the fact that $\{u_n\}$ is bounded in H, we have

$$\lim_{n} \langle a+b \| u_n \|^2 \rangle \langle u, u-u_n \rangle = 0.$$
(2.10)

Since $\varepsilon_n \to 0^+$, combine (2.9) and (2.10), we conclude that the second term in (2.8) converges to 0. Hence, $\{u_n\}$ converges strongly to u in H. The proof is completed.

Lemma 2.5. Assume that (f_1) and (f_4) hold. Then I satisfies the $(PSZ)_c$ for every $c \in \mathbb{R}$.

Proof. Let $\{u_n\} \subset H$ satisfies (2.5) and (2.6). It is clear that $\{u_n\}$ is in *K*. Next, we prove that $\{u_n\}$ is bounded in *H*. Suppose to the contrary that $||u_n|| \to \infty$. Setting $v_n = \frac{u_n}{||u_n||}$, then $||v_n|| = 1$. So, up to a subsequence, we may assume that

$$v_n \rightarrow v$$
 in H ;
 $v_n \rightarrow v$ in $L^p(\Omega)$, for $1 \le p < 2^*$;
 $v_n(x) \rightarrow v(x)$ a.e. $x \in \Omega$.

There are two case need to be considered: $v \neq 0$ or v = 0. We first consider the case $v \neq 0$. Set

$$\Lambda_n(r_1, r_2) = \{ x \in \Omega : r_1 \le |u_n(x)| < r_2 \},\$$

and

$$A := \{ x \in \Omega : v(x) \neq 0 \}.$$

Obviously, meas(A) > 0. For $x \in A$, we have $|u_n(x)| \to +\infty$ as $n \to \infty$. Hence, $A \subset \Lambda_n(r_0, \infty)$ for large $n \in \mathbb{N}$, where r_0 is given in (f₂). By (f₂), we have

$$\frac{F(x, u_n)}{|u_n|^4} |v_n|^4 dx \to +\infty \quad \text{as} \quad n \to \infty.$$

Hence, using Fatou's lemma, we have

$$\int_{A} \frac{F(x, u_n)}{|u_n|^4} |v_n|^4 dx \to +\infty \quad \text{as} \quad n \to \infty.$$
(2.11)

It follows from (2.5) and (2.11) that

$$\begin{aligned} 0 &= \lim_{n \to +\infty} \frac{c + o(1)}{\|u_n\|^4} = \lim_{n \to +\infty} \frac{\phi(u_n)}{\|u_n\|^4} \\ &= \lim_{n \to +\infty} \frac{1}{\|u_n\|^4} \left(\frac{a}{2} \|u_n\|^2 + \frac{b}{4} \|u_n\|^4 - \int_{\Omega} F(x, u_n) dx \right) \\ &= \frac{b}{4} - \lim_{n \to +\infty} \frac{1}{\|u_n\|^4} \left(\int_{\Lambda_n(0, r_0)} F(x, u_n) dx + \int_{\Lambda_n(r_0, \infty)} F(x, u_n) dx \right) \\ &\leq \frac{b}{4} + \limsup_{n \to \infty} \left[\frac{c}{\|u_n\|^3} \left(1 + \frac{r_0^{p-1}}{p} \right) \int_{\Omega} |v_n| dx \right] \\ &- \lim_{n \to +\infty} \int_A \frac{F(x, u_n)}{|u_n|^4} |v_n|^4 dx \\ &\leq \frac{b}{4} - \liminf_{n \to +\infty} \int_A \frac{F(x, u_n)}{|u_n|^4} |v_n|^4 dx = -\infty, \end{aligned}$$

which is a contradiction, thus $\{u_n\}$ is bounded. By a similar argument as the proof of Lemma 2.4, we can conclude that $\{u_n\}$ converge strongly in H.

Next we consider the case v = 0. We define

$$\phi(t_n u_n) = \max_{t \in [0,1]} \phi(t u_n).$$

For any M > 0, set $\tilde{v}_n = \sqrt{\frac{4M}{a}} \frac{u_n}{\|u_n\|} = \sqrt{\frac{4M}{a}} v_n$. By (f₁) and he Sobolev

embedding theorem, we have

$$\left|\int_{\Omega} F(x, \, \widetilde{v}_n\,) dx\right| \leq c \int_{\Omega} |\widetilde{v}_n| dx + \frac{c}{p} \int_{\Omega} |\widetilde{v}_n|^p \, dx \to 0,$$

as $n \to \infty$. Consequently, for *n* sufficiently large such that

$$\phi(t_n u_n) \ge \phi(\widetilde{v}_n) \ge \frac{a}{2} \|\widetilde{v}_n\|^2 + \frac{b}{4} \|\widetilde{v}_n\|^4 - \int_{\Omega} F(x, \, \widetilde{v}_n) \ge M.$$

This means that

$$\lim_{n\to\infty}\phi(t_nu_n)=\infty$$

In view of the choice of t_n we know that $\langle \phi'(t_n u_n), t_n u_n \rangle = 0$. Hence, by (f_4) , we have

$$\infty \leftarrow \phi(t_n u_n) - \frac{1}{4} \langle \phi'(t_n u_n), t_n u_n \rangle = \frac{a}{4} \| t_n u_n \|^2 + \int_{\Omega} \widetilde{F}(x, t_n u_n) dx$$
$$\leq \frac{a}{4} \| u_n \|^2 + \int_{\Omega} \widetilde{F}(x, u_n) dx = \phi(u_n) - \frac{1}{4} \langle \phi'(u_n), u_n \rangle,$$

which contradicts (2.5), thus $\{u_n\}$ is bounded in H. Since $\{u_n\} \subset H$ is bounded, using a similar arguments as (2.8), (2.9), and (2.10), we can conclude that $u_n \to u$ in H, as $n \to \infty$. This completes the proof. \Box

3. Proof of Main Results

Let $\{e_j\}$ is an orthonormal basis of *H* and define $x_j = \mathbb{R}e_j$,

$$Y_l = \bigoplus_{j=1}^l X_j, \quad Z_k = \bigoplus_{j=k}^\infty X_j, \quad l, k \in \mathbb{Z}.$$
 (3.1)

Therefore, we have the following lemma from [9].

Lemma 3.1 ([9], Lemma 3.8). If $1 \le p < 2^*$, then we have

$$\beta_k(p) \coloneqq \sup_{u \in Z_k, \|u\| = 1} |u|_p \to 0, \quad k \to \infty.$$

Lemma 3.2. Suppose that (f_1) is satisfied. Then there exist constants $\rho, \alpha > 0$ and $m \in \mathbb{Z}$ such that $I|_{\partial B_{\rho} \cap Z_m} \ge \alpha$.

Proof. By Lemma 3.1, we can choose an integer $m \ge 1$ such that $0 < \beta_m(1) \ll 1, 0 < \beta_m(p) \ll 1$ and

$$||u||_1 \le \beta_m(1) ||u||, ||u||_p \le \beta_m(p) ||u||, \quad \forall u \in X_1.$$

For any $u \in Z_m$ with $||u|| = \rho < 1$, by (f_1) , we have

$$\begin{split} I(u) &= \phi(u) = \frac{a}{2} \|u\|^2 + \frac{b}{4} \|u\|^4 - \int_{\Omega} F(x, u) dx \\ &\geq \frac{a}{2} \|u\|^2 - c \int_{\Omega} |u| dx - \frac{c}{p} \int_{\Omega} |u|^p dx \\ &\geq \frac{a}{2} \|u\|^2 - c\beta_m(1) \|u\| - \frac{c}{p} \beta_m^p(p) \|u\|^p \\ &\geq \frac{a}{2} \|u\|^2 - c\beta_m(1) \|u\| - c_p \|u\|^p \\ &= \rho \Big(\frac{a}{2} \rho - c\beta_m(1) - c_p \rho^{p-1} \Big) > 0. \end{split}$$

Here we use the fact that $0 < \beta_m(1) < \frac{\alpha}{2c}\rho - \frac{c_p}{c}\rho^{p-1}$ if *m* suitable large. Thus, this completes the proof.

Lemma 3.3. Suppose that (f_1) and (f_2) are satisfied. Then, for any finite dimensional subspace $\widetilde{H} \subset H$, there is $R = R(\widetilde{H}) > 0$ such that

$$\phi(u) \le 0, \quad \forall u \in \widetilde{H} \setminus B_R.$$

Proof. For any finite dimensional subspace $\widetilde{H} \subset H$, there is a positive integral number n > m (where *m* is given by Lemma 3.2) such that $\widetilde{H} \subset Y_n$. Since all norms are equivalent in a finite dimensional space, there is a constant $c_4 > 0$ such that

$$\|u\|_{4} \ge c_{4}\|u\|, \quad \forall Y_{n}.$$
 (3.2)

By (f₁) and (f₂), we know that for any $M > \frac{b}{4c_4^4}$ there is a constant

 $C_M > 0$ such that

$$F(x, u) \ge M|u|^4 - C_M|u|^2, \quad \forall (x, u) \in \Omega \times \mathbb{R}.$$
(3.3)

It follows from (3.2) and (3.3) that

$$\begin{split} I(u) &= \phi(u) \leq \frac{1}{2} \|u\|^2 + \frac{b}{4} \|u\|^4 - M \|u\|_4^4 + C_M \|u\|_2^2 \\ &\leq \frac{1}{2} \|u\|^2 - \left(Mc_4^4 - \frac{b}{4}\right) \|u\|^4 + C_M C_2^2 \|u\|^2, \end{split}$$

for all $u \in Y_{n}$. Consequently, there is a large $R = R(\widetilde{H}) > 0$ such that $\phi(u) \leq 0$ on $\widetilde{H} \setminus B_R$. Thus, the proof is complete. \Box

Proof of Theorem 1.1. Let X = H, $X_1 = Z_m$, and $X_2 = Y_n$. Obviously, I(0) = 0 and (f_5) implies that I is even. By Lemmas 2.4, 3.1, and 3.2, all conditions of Theorem 2.2 are satisfied. Thus, problem (2.5) possesses dim X_2 - codim $X_1 = n - m + 1 > 1$ distinct pairs of positive solutions.

Proof of Theorem 1.2. Let X = H, $X_1 = Z_m$, and $X_2 = Y_n$. Obviously, I(0) = 0 and (f_5) implies that I is even. By Lemmas 2.5, 3.1, and 3.2, all conditions of Theorem 2.2 are satisfied. Thus, problem (2.5) possesses dim X_2 - codim $X_1 = n - m + 1 > 1$ distinct pairs of positive solutions.

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